

Mark Ruddy

Managing Partner, Ruddy Gregory, PLLC

Mark Ruddy is founder and co-managing partner of Ruddy Gregory, PLLC. In his practice he represents investment advisers, currency dealers, commodity futures registrants, hedge funds, and other members of the financial community. His experience in the alternative investments industry spans over twenty-five years.

Prior to becoming a consultant and attorney in private practice, Mr. Ruddy worked for the Global Environment Fund, a private equity and venture capital firm. He also has experience as a former compliance examiner with the National Futures Association.

Mr. Ruddy has performed expert witness work in derivatives and brokerage related cases in arbitration and court. He currently teaches at the Catholic University of America Law School. He also serves as the President and a board member of the Alliance of Business Lawyers (the “ABL”), a global association of leading business law firms around the world. During his career, Mr. Ruddy has served on the Board of Directors of several private investment funds.

Mr. Ruddy has been quoted in several newspapers and publications in articles pertaining to financial derivatives, including: The Wall Street Journal, Reuters, and the New York Post. Mr. Ruddy received the J.D. degree from The Catholic University of America, Washington, D.C., in 2000; completed the Professional Program in Business Accounting, New York University, New York, NY in 1996; and received a B.S. in Finance from Fairfield University in Fairfield, Conn., in 1995.

Admission: NY, DC

Stephen Humenik

*Senior Vice President, Global Head of Capital Markets, Legal & Head of Clearing,
Crypto.com*

Steve Humenik Senior Vice President, Global Head of Capital Markets, Legal & Head of Clearing Crypto.com Steve Humenik is Senior Vice President, Global Head of Capital Markets, Legal and Head of Clearing at Crypto.com. In this position, Mr. Humenik leads Crypto.com's global derivatives and capital markets efforts from a regulatory, legal, and strategic perspective. As a result, Mr. Humenik is responsible for strategy and developments related to Crypto.com | Derivatives North America (CDNA) and Crypto.com's financial product offerings in the Americas, Europe, the Middle East, Africa, and Asia. In addition, as Head of Clearing, Mr. Humenik oversees the business and operations, including risk management of Crypto.com's CFTC-registered clearinghouse, CDNA.

Mr. Humenik began his career in the Division of the Enforcement at the CFTC, where he spent a combined eight years. He also served as Special Counsel & Policy Advisor to former Commissioner Scott O'Malia. He then served as General Counsel and Chief Regulatory Officer of a CFTC-registered interest rate swap futures exchange. He spent nearly 10 years working with clients in the global derivatives market ecosystem. He led the Futures and Derivatives Practice at Covington & Burling, LLP and K&L Gates, LLP as a Partner. Mr. Humenik is admitted to practice law in Washington, D.C., Illinois and Maryland (inactive).

Admission: Washington, D.C., Illinois and Maryland (inactive).

Kevin Batteh

Partner, Delta Strategy Group

Kevin Batteh, a partner of Delta Strategy Group, is a seasoned financial services lawyer with over two decades of futures and swaps regulatory experience. Kevin joined Delta Strategy Group in August 2014 and assists clients across a range of areas including regulatory compliance, enforcement, and policy issues related to domestic and international derivatives and energy markets. Kevin also acts as a senior advisor to a number of blockchain and digital currency (e.g. bitcoin and token) technology companies.

Kevin began his career as a complex litigation associate at a Fortune 100 law firm. In 2003, after five years of private practice, he joined the CFTC as a trial attorney in the Division of Enforcement where he investigated and prosecuted cases of fraud and market manipulation in Federal District Courts across the country. Kevin left the CFTC in 2008 to take a senior lawyer role with the UK Financial Services Authority (now Financial Conduct Authority) in the Division of Enforcement where he investigated cases of securities and derivatives market abuse, market manipulation, and insider trading.

Kevin returned to the CFTC in 2010 and served as a chief trial attorney responsible for leading a team of lawyers and investigators in the US Dollar LIBOR rate manipulation cases that ultimately resulted in billion-dollar settlements. In 2011, Kevin transitioned from Enforcement to serve as counsel to CFTC Commissioner Jill Sommers where he provided legal and policy advice concerning the CFTC's Dodd-Frank rulemaking, regulation of futures and swaps, enforcement matters, and foreign jurisdictions' regulatory policy and legislation.

Immediately prior to joining Delta Strategy Group, Kevin served Senate Agriculture Committee Ranking Member Thad Cochran as special counsel and policy advisor for

futures and derivatives where he had responsibility for the confirmation of Commodity Futures Trading Commission (CFTC) Commissioner Nominees and CFTC reauthorization legislation.

Admission: DC, VA (inactive)

Eric Juzenas

Associate Director, Division of Trading and Markets, SEC

Eric Juzenas is an Associate Director in the SEC's Division of Trading and Markets, where he is responsible for oversight of the U.S. security-based swap, equity, and debt markets. Previously, he worked for Commissioner and Acting Chair Allison Herren Lee. Eric has also worked in the private sector and held roles at the Department of Treasury, Commodity Futures Trading Commission, and on Capitol Hill. He is a graduate of the University of Wisconsin—Madison and the George Washington University Law School.

Admission: Maryland

Connor Guerin

Senior Investigator, FINRA, Catholic Law Student

Connor is currently a fourth-year evening student at Catholic University and is a member of the Catholic University Securities Law program. Connor has been with FINRA for the past five years, where he works as a Sr. Investigator in FINRA's Market Regulation group, handling matters involving Fixed-Income product Fair Pricing, Short Sales, Best Execution, and Books and Records violations. Before joining FINRA, Connor spent two years at T. Rowe Price, working as a mutual fund trader, where he received his Series 6, 63, and 7 licenses. He received his undergraduate degree in Political Science and History from Loyola University in Baltimore, Maryland in 2017.