

Kaitlin C. Bottock is Co-Chief Counsel of the Securities and Exchange Commission's Division of Investment Management. In this role, she oversees the Division's legal guidance regarding investment companies and investment advisers. She has served in this role since October 2022. Prior to joining the SEC in 2014, Ms. Bottock was an associate with Dechert LLP in its Washington, DC office.

Admission: VA and DC

Amy Ward Pershkov is a Shareholder at Vedder Price and a member of the firm's Investment Services team in the Washington, DC office. Ms. Pershkov advises investment companies and their independent directors, investment advisers and other financial services companies on SEC regulatory matters. She provides legal counsel on an extensive variety of legal, business and operational issues, including issues related to: Open-end and closed-end funds; Multi-class funds; Funds of funds; Funds utilizing a manager of managers structure; Registered and private fund advisers; Real estate and private equity managers. Ms. Pershkov's experience includes counseling clients on a wide variety of investment management matters, including the formation, registration, reorganization, merger, acquisition and liquidation of investment companies and investment advisers. She assists clients with respect to SEC examinations and inquiries, targeted compliance and business practice reviews, internal investigations and due diligence reviews. Ms. Pershkov has been ranked by Chambers USA in the Nationwide Investment Funds: Registered Funds category since 2021 and Chambers Global since 2022. The Legal 500 United States has also recognized Ms. Pershkov in the Investment Fund Formation and Management—Mutual/ Registered Funds category since 2023.

Admission: VA and DC

Matthew Thornton is Associate General Counsel for the Investment Company Institute, with responsibility for a wide range of legal issues affecting registered investment companies and investment advisers. Mr. Thornton's primary areas of responsibility include liquidity, valuation, corporate governance and proxy voting, advertising/social media, issues related to fixed income, disclosure, and investment advisory matters. Before joining ICI in 2014, he was an associate in Dechert LLP's financial services group from 2005 to 2014. Before practicing law, Mr. Thornton held positions in the financial services industry at SunTrust Banks (now Truist) from 1998 to 2005 and Merrill Lynch from 1997 to 1998. Mr. Thornton is a member of the Washington, D.C. and Maryland bars and a Certified Financial Planner™. He received his BA in economics from the University of Notre Dame and his JD from Georgetown University Law Center.

Admission: Washington, D.C. and Maryland

Mark Amorosi is a partner in K&L Gates' Asset Management and Investment Funds practice and serves as a co-practice area leader for the practice group. He has been practicing for approximately 30 years in the areas of investment management and securities law, and focuses his practice on

representing investment advisers, mutual funds and exchange-traded funds (ETFs), private and alternative investment funds, insurance companies, fund boards, broker-dealers, banks, and other financial institutions. He has extensive experience with fund formations and securities offerings by retail and alternative fund complexes, global fund regulation, complex regulatory and compliance matters, portfolio management and transactional matters, governance matters, variable insurance product regulation, and matters relating to mergers and acquisitions of investment adviser and fund businesses. Prior to joining the firm in 2000, he served on the staff of the US Securities and Exchange Commission for five years working in the SEC's Division of Investment Management.

Admission: DC and MD

Declan Scanlon is a third-year law student at The Catholic University of American, Columbus School of Law, in the Securities Law Program. Currently, he serves as a student scholar in the U.S. Securities and Exchange Commission's Division of Enforcement. In addition, Declan is actively involved in Catholic's Law Review and Moot Court Executive Boards. Last summer, he interned at the Investment Company Institute, where he focused on analyzing legislative and regulatory developments affecting investment funds and securities.